

# Binary Willshaw learning yields high synaptic capacity for long-term familiarity memory

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**Abstract** In this work we investigate from a computational perspective the efficiency of the Willshaw synaptic update rule in the context of familiarity discrimination, a binary-answer, memory-related task that has been linked through psychophysical experiments with modified neural activity patterns in the prefrontal and perirhinal cortex regions. Our motivation for recovering this well-known learning prescription is two-fold: first, the switch-like nature of the induced synaptic bonds, as there is evidence that biological synaptic transitions might occur in a discrete stepwise fashion. Second, the possibility that in the mammalian brain, unused, silent synapses might be pruned in the long-term. Besides the usual pattern and network capacities, we calculate the synaptic capacity of the model, a recently proposed measure where only the functional subset of synapses is taken into account. We find that in terms of network capacity, Willshaw learning is strongly affected by the pattern coding rates, which have to be kept fixed and very low at any time to achieve a non-zero capacity in the large network limit. The information carried per functional synapse, however, diverges and is comparable to that of the pattern association case, even for more realistic moderately low activity levels that are a function of network size.

**Keywords** Familiarity memory · Willshaw rule · Synaptic capacity · Sparse coding

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## 1 Introduction

Observations of psychophysical and neurophysiological order have brought into attention the so-called familiarity discrimination or detection task, where tested subjects need only to recognise once-seen objects without being asked to recollect detailed feature or context descriptions (Xiang and Brown 1998, 2004; Yakovlev et al 2008). From the computational perspective, the essential aim is to devise a neural network model that is biologically plausible up to a certain degree of realism and that is able to explain in part the seemingly limitless memorising ability of the brain to solve this task (Standing 1973).

As in previous familiarity memory neural network modelling efforts (Bogacz et al 2001; Greve et al 2009; Cortes et al 2010), the formulation of the task that we consider involves a set of  $M$  patterns

$$\mathcal{S} = \{\mathbf{x}^1, \dots, \mathbf{x}^\mu, \dots, \mathbf{x}^M\}, \quad (1)$$

that have been presented to the network for learning and that ought to be recognised as familiar in future presentations, while any other pattern not belonging to  $\mathcal{S}$  should be classified as novel. Each of the patterns is a binary vector  $\mathbf{x}^\mu \in \{0, 1\}^m$ ,  $x_i^\mu$  representing the (silent-firing) activity of the  $i$ -th neuron at a given time frame  $\mu$ ; the task itself is as well binary, in the sense that we seek to decide if a certain presented pattern  $\tilde{\mathbf{x}}$  is either familiar or novel. The structure of the network is given at any time by the  $m \times m$  connectivity matrix  $\mathbf{W}$ , where the entry  $w_{ij}$  denotes the strength of the bond from presynaptic neuron  $i$  to postsynaptic neuron  $j$ .

To learn the desired mapping, each neuron should be able to determine at the synapse level ('locally') the network connectivity structure so that in subsequent pattern presentations one can extract from the collective activity of the  $m$  neurons the desired novel-familiar response. The model is then characterised by a local synaptic learning rule and by

a discrimination function. On the one hand, given a pattern  $\mathbf{x}^\mu$  that should be memorised, the former determines each synaptic weight solely by inspection of the variables  $w_{ij}$ ,  $x_i$  and  $x_j$ ; the latter, given a query pattern  $\tilde{\mathbf{x}}$  and the structure of the network  $\mathbf{W}$ , elicits the binary familiarity response.

We focus on modelling long-term memory, in opposition to palimpsestic working memory (Parisi 1986; Amit and Fusi 1994; Leibold and Kempter 2008; Barrett and van Rossum 2008; Yakovlev et al 2008), where ‘overwriting’ takes place and the familiarity signal of past memories decays over time. For long-term familiarity detection, a model that is capable of storing an extensive number of patterns per synapse has been proposed (Bogacz et al 2001) and recently shown to correspond to the optimal linear, local familiarity learning prescription (Greve et al 2009). However, the network is only capable of storing a rather small amount of information per synapse, and the proposed synaptic update scheme requires maintenance of real-valued synapses over a long period of time.

In our work, we consider as an alternative the binary non-linear Willshaw (or Steinbuch) prescription (Steinbuch 1961; Willshaw et al 1969) in the context of familiarity discrimination. This learning rule has certain properties that have made it desirable when applied to the associative memory problem, where it has been extensively analysed (see, e.g., Willshaw et al 1969; Palm 1980; Golomb et al 1990; Nadal and Toulouse 1990; Palm and Sommer 1992; Buckingham and Willshaw 1992; Brunel 1994; Graham and Willshaw 1995; Sommer and Palm 1999; Knoblauch et al 2010); namely, the high storage capacity attained when the model is correctly parametrised, its simplicity, and the fact that the generated synaptic matrix  $\mathbf{W}$  is binary. This last feature is particularly interesting since in cortical regions supporting memory-related tasks the synaptic transitions may operate in a discrete (few steps) or even in a binary switch-like fashion. There is accumulating experimental evidence supporting discrete transitions at least in the initial phase of long-term potentiation, although it remains unclear whether or not long-term synaptic efficacies may still have a gradual distribution (Petersen et al 1998; Montgomery and Madison 2004; O’Connor et al 2005).

Furthermore, an inhibitory variant of the Willshaw rule has just been proposed by Knoblauch et al (2010), motivated by the possibility of structural plasticity by synaptic pruning and growth as a support for long-term memory encoding in the adult mammalian brain (Chklovskii et al 2004), alongside well-established synaptic weight change mechanisms such as long-term potentiation and depression. In the associative case, the inhibitory Willshaw rule has led to the discovery of new efficient working regimes where few active synapses can carry a high Shannon information content.

In this article we show in a first step that for medium-sized networks the classical pattern and Shannon capacities

of the Willshaw model are comparable to those of the real-valued network of Bogacz et al (2001), provided that the patterns exhibit low activity levels at any time (the so-called sparse coding regime), a fact that has already been pointed out in the dynamical synapse analysis of Barrett and van Rossum (2008). We also show that in the limit of large networks  $m \rightarrow \infty$ , the network capacity vanishes unless the coding rates are extremely low.

In line with the recent observations of Knoblauch et al (2010), we then investigate alternative parametrisations of the Willshaw model. We find that the high pattern loadings associated with the familiarity discrimination task lead to dense potentiation of the memory matrix, a regime where the inhibitory interpretation of the original Willshaw model is especially efficient. It is shown that if the low cost of silent synapses (which might even be pruned in the long-term) is neglected, the inhibitory network is capable of achieving large synaptic capacities that increase with the number of neurons, under realistic moderately low coding rates. Finally, we take into consideration the effects of varying the coding level per pattern; at least when the level follows a binomial distribution, introducing a feedforward inhibitory correction in the discriminator compensates for the additional signal variability and the system remains qualitatively intact, albeit operating with lower overall efficiency in the finite-size case.

## 2 Results

The simplest possible local, non-linear, binary synaptic rule is the well-known Willshaw prescription (Steinbuch 1961; Willshaw et al 1969; Palm 1980). Here, the weight update equation is an extreme case of Hebbian learning, where a single coincidental firing activity at any given time  $\mu$  (i.e.,  $x_i^\mu = 1$  and  $x_j^\mu = 1$ ) is sufficient to arise long-term potentiation at the synaptic contact  $i \rightarrow j$ . As there is just one potentiation level, each synapse  $w_{ij}$  is a binary variable, either at the 0-state (silent synapse) or at the 1-state (present synapse). After  $M$  pattern presentations,  $w_{ij}$  is given by

$$w_{ij} = \min \left( 1, \sum_{\mu=1}^M x_i^\mu x_j^\mu \right) \in \{0, 1\}. \quad (2)$$

Originally proposed in the context of an associative network with one-step (non-iterative) synchronous retrieval, the 0-1 Hebb rule (2) has been employed as well to embed patterns in attractor networks with symmetric couplings  $w_{ij} = w_{ji}$ . In this case, if an appropriate retrieval strategy is used so as to form large basins of attraction surrounding the desired fixed points, iteration generally leads to a more robust recall process, in terms of allowed cue distortion (given by a metric such as the Hamming distance  $d_H(\tilde{\mathbf{x}}, \mathbf{x}^\mu) \equiv \sum_i |x_i^\mu - \tilde{x}_i|$ )

as well as in terms of resistance to stochastic synaptic failure, where the  $w_{ij}$  may randomly switch states with a certain probability (Golomb et al 1990; Schwenker et al 1996; Sommer and Dayan 1998).

For familiarity discrimination, there is no need per se to extract the whole pattern  $\mathbf{x}^\mu$  from the network; rather, what one seeks is a prescription to determine a binary (novel-familiar) answer starting from a cue  $\tilde{\mathbf{x}}$ , given the information stored in the synaptic connectivity matrix  $\mathbf{W}$ .

The discriminator proposed by Bogacz et al (2001) and studied in formal memory models of familiarity (Bogacz and Brown 2003; Greve et al 2010), is based on the quadratic form

$$H(\mathbf{x}) = -\alpha \sum_{i \neq j} \sum w_{ij} (x_i - f)(x_j - f) \in \mathbb{R}, \quad (3)$$

usually referred to as the energy function<sup>1</sup> of the network at a given state  $\mathbf{x}$ , presented in its mean corrected form (Amit et al 1987; Bogacz and Brown 2002; Greve et al 2009), where  $f \equiv m^{-1} \mathbb{E}(\sum_i x_i)$  is the coding rate, i.e., the expected fraction of firing units per pattern. As it has already been pointed out in the previous works, equation 3 has a network implementation and it is closely related to other measures of familiarity (see, e.g., the appendix of Greve et al 2010).

In the proposed discrimination scheme, the desired binary decision is computed by ‘clamping’ into the network state a certain input pattern  $\tilde{\mathbf{x}}$  and then, without (or before) the retrieval dynamics takes place, by thresholding the resulting energy, i.e.

$$D(\tilde{\mathbf{x}}) = \mathbf{1}_{[H(\tilde{\mathbf{x}}) \leq \Theta]} \in \{0, 1\}, \quad (4)$$

where  $\mathbf{1}_{[\cdot]}$  is the binary random variable which is 1 if the argument holds and 0 otherwise. An appropriate choice of  $\alpha$  and  $\Theta$  should ensure that, given a weight matrix  $\mathbf{W}$  encoded according to a certain synaptic learning rule, as many as possible patterns belonging to  $\mathcal{S}$  are assigned one of the two decision outcomes (say, one), and all the others to the opposite class (say, zero).

It has been recently shown by Greve et al (2009) that for such discriminator, the asymptotically optimal ( $m \rightarrow \infty$  and a size-dependent load  $M$ ) local linear synaptic weight setting when we allow the  $w_{ij}$  to assume real values is given by the covariance learning rule (Amit et al 1987; Tsodyks and Feigel'man 1988; Dayan and Willshaw 1991; Palm and Sommer 1996):

$$w_{ij} \propto \sum_{\mu=1}^M (x_i^\mu - f)(x_j^\mu - f) \in \mathbb{R}. \quad (5)$$

<sup>1</sup> As for bipolar patterns and symmetrical networks ( $w_{ij} = w_{ji}$ ) with no self-couplings ( $w_{ii} = 0$ ) there is a strong analogy with the Hamiltonian of the zero-temperature Ising model (Hopfield 1982; Amit et al 1985).

In this article we address the question of how well does the clipped Hebbian rule (2) fare with a discriminator of the form (4). Specifically, for simplicity we redefine  $H$  letting  $\alpha = 1$ , performing the double summation over all  $i, j$ , and dropping the mean correction,

$$H(\mathbf{x}) = -\sum_{i=1}^m \sum_{j=1}^m w_{ij} x_i x_j \in \mathbb{Z}, \quad (6)$$

recalling that each weight  $w_{ij}$  is now a 0-1 binary variable.

Following the analysis of the associative Willshaw network carried out by Knoblauch et al (2010), we proceed by calculating three essential quantities: the maximal number of patterns  $M_\epsilon$  that the system can discriminate allowing a certain (known) error level, the network capacity  $C$  (in bits per synaptic contact), and the synaptic capacity  $C^S$  (in bits per active synapse). We will then see that the Willshaw model becomes especially interesting regarding the latter quantity, as a modification to the clipped rule leads to the activation of a subset of few synapses within the full contact space of order  $m^2$ .

## 2.1 Maximal pattern load calculation for low activity levels

The calculation of the maximal pattern load  $M_\epsilon$  when the average activity is low ( $f \ll 1$ ) can be performed analytically using a series of approximations which have been shown to be near-exact even for finite networks where  $m$  is not large (Palm 1980; Knoblauch 2008; Knoblauch et al 2010).

We consider the two usual simplified binary pattern generation scenarios: first, we deal with the case where every pattern  $\mathbf{x}^\mu$  presented to the network for learning has a fixed, known a priori activity level  $|\mathbf{x}^\mu| \equiv \sum_{i=1}^m x_i = k$  as in the analysis of Palm (1980); later (in section 2.4), we consider patterns where  $|\mathbf{x}^\mu|$  is a binomially-distributed random variable with characteristic probability equal to the coding rate  $f = k/m$ ,  $k$  being again a fixed known a priori parameter. In this case, although the activity of each pattern is allowed to vary, by construction the average level is  $mf = k$  and all neurons are activated equally and independently (Buckingham and Willshaw 1992).

With these statistics at hand we can determine the average weight matrix load,

$$p_1 \equiv \mathbb{E}(w_{ij}) = \mathbb{P}(w_{ij} = 1) = 1 - \mathbb{P}(w_{ij} = 0) \quad (7)$$

$$= 1 - (1 - f^2)^M = 1 - \exp(M \ln(1 - f^2)) \quad (8)$$

$$\approx 1 - \exp(-f^2 M). \quad (9)$$

The approximation assumes that the coding rates are low, i.e.,  $f^2 \ll 1$ .

Clearly, as observed when employing the Willshaw rule to solve the associative task,  $p_1$  is a critical quantity: to recover information about the patterns in  $\mathcal{S}$  one must control

both the cardinality  $M$  and the sparseness parameter  $f$  so as to avoid  $p_1 = 1$ . It is useful to calculate  $M$  given  $p_1$ ,

$$\ln(1 - p_1) \approx -Mf^2 \Leftrightarrow M \approx -f^{-2} \ln(1 - p_1). \quad (10)$$

Regarding familiarity detection in general, two types of error may occur: omission errors (denoted as ‘10’ errors) whenever  $\tilde{\mathbf{x}} \in \mathcal{S}$  but the system fails to classify the pattern as familiar; conversely, commission errors (denoted as ‘01’ errors) when  $\tilde{\mathbf{x}} \notin \mathcal{S}$  but the discriminator indicates familiarity. For patterns with fixed (for all  $\mu$ ) activity  $k$  and  $\mathbf{W}$  set according to the Willshaw rule (2), there is a simple threshold setting which avoids omission errors at all, i.e., a  $\Theta$  such that for all  $\mu$  we have with probability one  $D(\mathbf{x}^\mu) = 1$ . For a familiar cue  $\tilde{\mathbf{x}} \in \mathcal{S}$  corresponding to a certain learned  $\mathbf{x}^\mu$  we have

$$H(\tilde{\mathbf{x}}) = - \sum_{i=1}^m \sum_{j=1}^m w_{ij} \tilde{x}_i \tilde{x}_j \quad (11)$$

$$= - \sum_{i=1}^m \sum_{j=1}^m x_i^\mu x_j^\mu = -k^2 \equiv \Theta_W, \quad (12)$$

where the equality from (11) to (12) is valid since  $w_{ij} = 1 \Leftrightarrow \exists \mu, x_i^\mu = 1 \wedge x_j^\mu = 1$ . In a sense,  $\Theta_W$  is the familiarity discrimination threshold which corresponds to the classical Willshaw threshold  $|\tilde{\mathbf{x}}| = k$  for the noise-free associative task (Willshaw et al 1969; Palm 1980).

When  $\Theta_W$  is the discrimination threshold and  $\tilde{\mathbf{x}}$  is a novel pattern, generated according to the same statistics as the  $\mathbf{x}^\mu$  but not presented for learning, if the non-zero  $w_{ij}$  coincide with active  $i, j$  units enough such that  $H(\tilde{\mathbf{x}})$  reaches  $-k^2$ , a commission error will occur. We can calculate this error probability resorting to  $p_1$ ; assuming that the ‘ones’ in  $\mathbf{W}$  were randomly and independently set<sup>2</sup>,

$$p_{01} \equiv \mathbb{P}(D(\tilde{\mathbf{x}}) = 1 \mid \tilde{\mathbf{x}} \notin \mathcal{S}) \approx \mathbb{P}(D(\tilde{\mathbf{x}}) = 1) \quad (13)$$

$$\approx p_1^{(-\Theta_W - k)/2} \quad (14)$$

$$\approx p_1^{k^2/2}, \quad (15)$$

where the  $1/2$  correction comes from the symmetry in  $\mathbf{W}$ . To reach our final expression (15), we approximate  $(k^2 - k)/2$  by the leading term  $k^2/2$ , although equation 14 would yield a better approximation to the true value of  $p_{01}$  as the learning rule (2) sets the diagonal entries of  $\mathbf{W}$  to one with high probability.

While parametrising a memory device, to ensure the system performs the desired task correctly it is common to require that the probability of error remains below a certain bound. In the associative memory literature there are many criteria to enforce a quality level in the process; usually, the

task parameters are found so that the error probability grows according to some controlled function of network size and the expected pattern activity level (Palm 1980; Knoblauch et al 2010). In the familiarity detection task, however, as there is no obvious reason to couple the probabilities to the parameters  $k$  and  $m$ , it seems reasonable to maintain  $p_{01}$  and  $p_{10}$  below a fixed level (Bogacz and Brown 2002).

To keep the error probability  $p_{01}$  lower than a desired level  $p_{01\epsilon}$ , we establish the ‘breakdown’ value  $M_\epsilon$  for the pattern load, as a function of the coding rate  $f$ . Using the binomial approximation given by equation 15, we have

$$p_{01} \approx p_{01\epsilon} \Leftrightarrow (1 - \exp(-f^2 M))^{k^2/2} \approx p_{01\epsilon}, \quad (16)$$

yielding, with respect to  $M$ ,

$$M \approx - \frac{m^2}{k^2} \ln(1 - p_{01\epsilon}^{2/k^2}) \equiv M_\epsilon, \quad (17)$$

which is the pattern capacity we sought. Note that in the large network limit  $m \rightarrow \infty$ , for any coding rate such that  $k \rightarrow \infty$ ,  $M_\epsilon$  is independent of the fixed error bound  $p_{01\epsilon}$ , as we have

$$M_\epsilon \approx \frac{2m^2 \ln k}{k^2}. \quad (18)$$

Notice how the maximal pattern load is a function of  $k$  and  $m$ . This result is in contrast with the real-valued network employing the covariance rule, where the familiarity discrimination capacity is essentially independent of the pattern activity level (Bogacz and Brown 2002). Just as in the analyses of the Willshaw rule for the associative case (Willshaw et al 1969; Palm 1980; Nadal and Toulouse 1990; Knoblauch et al 2010), however, we find a dependence of  $M_\epsilon$  on  $k$ . With the binary synapses induced by Willshaw learning, it is clear that  $M_\epsilon$  is maximised in the sparse coding regime  $f \ll 1$ ; the actual optimal activity level parameter  $k^{\text{opt}}$  is just a function of  $p_{01\epsilon}$  and can easily be found numerically. To gain additional insight on the typical size of  $k^{\text{opt}}$ , let us obtain an approximation for the pattern capacity,

$$M_\epsilon \approx \frac{m^2}{k^2} (2 \ln k - \ln(-2 \ln p_{01\epsilon})), \quad (19)$$

which is maximal when

$$k = \exp\left(\frac{1}{2} (1 + \ln(-2 \ln p_{01\epsilon}))\right) \approx k^{\text{opt}}. \quad (20)$$

Recalculating  $M_\epsilon$  with  $k = k^{\text{opt}}$ , we find that

$$\max_k M_\epsilon \approx - \frac{1}{2e \ln p_{01\epsilon}} m^2 \quad (21)$$

$$\approx 0.18 (-\ln p_{01\epsilon})^{-1} m^2. \quad (22)$$

Just to illustrate the result above, if one sets the desired error rate at  $p_{01\epsilon} = 0.01$ , the obtained breakdown quantity of patterns per synapse becomes about  $M_\epsilon/m^2 \approx 0.04$ .

<sup>2</sup> A well-known approximation employed e.g. in the analyses of Willshaw et al (1969); Palm (1980); Knoblauch et al (2010), which is valid for sparse patterns with activity levels that are sublinear in  $m$  (Knoblauch 2008).

Although ‘greedily’ maximising  $M_\epsilon$  leads to an extensive quantity of patterns per synapse, this approach also imposes a heavy coding restriction in the form of quite small values for  $k$  and an optimising expression that does not vary with  $m$ , a parametrisation that is referred to by Knoblauch et al (2010) as the ultra-sparse coding regime. In the next sections we proceed to richer performance measures where the required underlying resources and the Shannon information of the task are also taken into account.

## 2.2 Classical network capacity

The commission error probability  $p_{01}$  can as well be used to calculate the traditional network capacity measure  $C$  in bits per synaptic contact. Here there is a fundamental difference between the associative and familiarity tasks, as observed by Barrett and van Rossum (2008); Greve et al (2009): a familiarity discrimination network can only ‘transmit’ at most one bit per learned pattern (the perfect output of  $D(\bar{\mathbf{x}})$ ), instead of order  $k$  bits per pattern as in the associative case (Palm 1980; Knoblauch et al 2010). The optimal local, linear, additive covariance rule (that induces real-valued synaptic weights) can then only obtain 0.057 bits per synapse in the  $M \rightarrow \infty$  errorful regime (Greve et al 2009), which is rather low when compared to the 0.72 bits per synapse that the same rule can achieve in the high fidelity pattern association task (Palm and Sommer 1996).

The analogy at hand is to interpret the familiarity network as a discrete binary channel which transmits novel and familiar patterns with a certain error probability, and then calculate the information-theoretic channel capacity, which is the maximal mutual information (Shannon 1948; Cover and Thomas 2006) normalised by the number of required synaptic contacts,

$$C = \frac{I(X^1, \dots, X^\omega, \dots, X^\Omega; Y^1, \dots, Y^\omega, \dots, Y^\Omega)}{m^2}. \quad (23)$$

Here  $X^\omega \in \{0, 1\}$  is a binary random variable indicating whether the  $\omega$ -th presented pattern is familiar ( $X^\omega = 1$ ) or novel ( $X^\omega = 0$ ), and  $Y^\omega \equiv D(\mathbf{x}^\omega) \in \{0, 1\}$  is the network output for the  $\omega$ -th pattern. As in previous work (Barrett and van Rossum 2008; Greve et al 2009), we assume that  $\Omega = 2M$  patterns are presented and an equal prior probability of a pattern being familiar or novel  $P(X^\omega = 0) = P(X^\omega = 1) = 1/2$ . Besides allowing for a direct fair comparison with the previously obtained results, a prior model with equiprobable pattern classes maximises the channel capacity when the conditional error probabilities are equal  $p_{10} = p_{01}$ . In our case, assuming the network is parametrised for high fidelity, this choice is approximately optimal, as we have  $p_{10} = 0$  and  $p_{01} \approx 0$ .

Since we are ‘transmitting’  $M$  learned and  $M$  novel patterns independently generated according to the statistics of

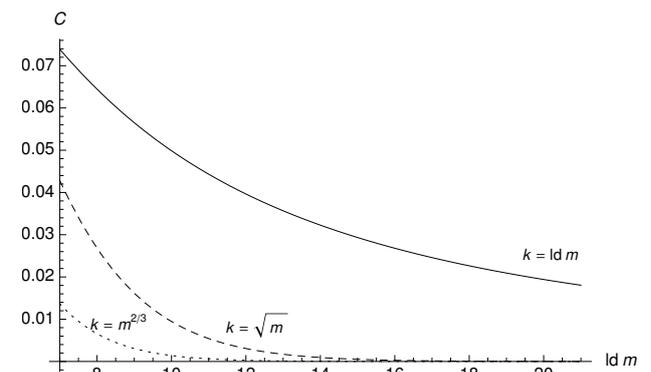
section 2.1, the process can be decomposed into  $2M$  transmissions of a single (say, the  $\omega$ -th) pattern,

$$C = \frac{2M}{m^2} I(X^\omega; Y^\omega) \quad (24)$$

$$= \frac{2M}{m^2} \left[ 1 - \frac{1}{2} \left( (1 + p_{01}) \text{ld}(1 + p_{01}) - p_{01} \text{ld} p_{01} \right) \right], \quad (25)$$

where  $p_{01}$  is the commission error probability, defined in (15) as a function of the task parameters  $m, k, M$ . The derivation of the single-pattern mutual information is given in appendix A; a similar calculation has been carried out in the single-neuron information maximisation framework of Barrett and van Rossum (2008), in a comparison of the Willshaw rule with more elaborate stochastic synaptic learning.

Unfortunately, unlike the network capacity achieved in the associative case, in our task  $C$  is largest for finite small  $m$  (see figure 1), but vanishes when  $m \rightarrow \infty$ , for any activity level function  $k$  that increases with  $m$ .



**Fig. 1** Network capacity  $C$  in bits per synaptic contact vs. network size  $m$  (in logarithmic scale) for a variety of activity level orders, with pattern load  $M_\epsilon$  given by (17) at conditional error rate  $p_{01\epsilon} = 0.01$ . For  $k$  of order  $\log m$  the capacity is stable, yet slowly decreasing towards zero as predicted by the asymptotic analysis. Less sparse patterns (e.g., when  $k = \sqrt{m}$ ) lead to low capacity even for small  $m$ . When the activity level increases to  $k = m^{2/3}$  the network capacity becomes near-zero for any network size. For  $p_{01\epsilon} = 0.01$ , integer-constrained numerical optimisation of  $C$  with respect to  $k$  while  $M$  is accordingly set at  $M_\epsilon$  reveals that the maximum  $C \approx 0.11$  is achieved when  $k = 4$ , a result which is in agreement with the previous findings of Barrett and van Rossum (2008).

To show this, let us take an arbitrary, finite probability  $p_{01\epsilon}$  close to zero, to keep the discrimination error from growing large; in this case, the bracketed quantity in (25) becomes approximately one. Then, the capacity becomes

$$C \approx -2k^{-2} \ln \left( 1 - p_{01\epsilon}^{2/k^2} \right). \quad (26)$$

In the limit  $k, m \rightarrow \infty$ , we can take  $M_\epsilon$  from equation 18; the capacity  $C$  no longer depends on the error bound  $p_{01\epsilon}$  and is

given by

$$C \approx \frac{4 \ln k}{k^2}. \quad (27)$$

We have reached a result which describes a qualitative behaviour that is rather different from the one found in the typical long-term associative memory task, where capacity is clearly a function of network size, and an increasing one when the activity level  $k$  is of correct order (Willshaw et al 1969; Palm 1980; Dayan and Willshaw 1991). For a given fixed probability error  $p_{01\epsilon}$ , the capacity  $C$  of the Willshaw network for discrimination is not directly a function of network size  $m$ . In our case, for any order of  $k$  as an increasing function of  $m$ , in the limit of  $m \rightarrow \infty$ , the capacity of the system collapses, even if the limit is reached slowly. One can avoid near-zero capacity for large networks only in the ultra-sparse regime, where  $k$  is kept small and constant (e.g.,  $k = 4$ ) and the capacity remains non-zero (and independent of  $m$ ).

### 2.3 Synaptic capacity

Let us consider now the synaptic capacity measure  $C^S$  (in bits per active synapse) recently suggested by Knoblauch et al (2010). Here, only functional synapses (i.e., non-zero synaptic connections  $w_{ij}$  which play a role in the network task) are considered to count; silent synapses are either assumed to be wired but metabolically cheap to maintain or even that the network is endowed with structural plasticity and is able to prune irrelevant synapses and rewire new connections as needed (e.g., Poirazi and Mel 2001; Chklovskii et al 2004; Holtmaat and Svoboda 2009). In the simple pattern statistics we consider, we obtain  $C^S$  by renormalising the network capacity  $C$  (as given by equation 25) by a factor  $F$  denoting the fraction of functional synapses:

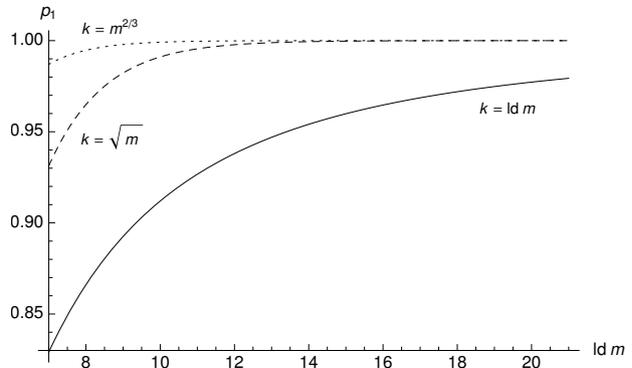
$$C^S = \frac{C}{F} = \frac{2M}{Fm^2} I(X^\omega; Y^\omega). \quad (28)$$

In the classical Willshaw model, the functional elements correspond to the 1-synapses, the expected fraction of which is  $p_1$  (our  $F$ , then) as defined in equation 8. However, at the maximal pattern load  $M_\epsilon$ , even when the discrimination error bound  $p_{01\epsilon}$  is kept low, most synapses are in the potentiated state. We can see this by rewriting  $p_1$  as a function of  $p_{01\epsilon}$ ; when  $M$  is given by  $M_\epsilon$ , combining equations (15) and (16), we obtain

$$p_1 \approx p_{01\epsilon}^{2/k^2} \gg 1/2, \quad (29)$$

which approaches unity as we let  $k \rightarrow \infty$  and is already larger than  $1/2$ , even for small  $p_{01\epsilon}$  close to zero and low activity  $k$ . Once again, in the limit  $m \rightarrow \infty$ , when  $k$  is allowed to vary as a function of  $m$ , we have  $Fm^2 \rightarrow m^2$ , which implies

a capacity collapse  $C^S \rightarrow C \rightarrow 0$ . The differences between  $C^S$  and  $C$  for finite  $m$  are also rather small, as illustrated by figure 2.



**Fig. 2** The ratio  $F \equiv C/C^S = p_1$  between network and synaptic capacities for the Willshaw model, when the error probability bound is  $p_{01\epsilon} = 0.01$ , shown for different activity functions  $k(m)$ . Since the maximal network capacity for each pair  $(m, k(m))$  is achieved at a higher connectivity level  $p_1$  as the coding rate increases, the relative advantage of considering only functional synapses becomes negligible.

However, parametrisations leading to the so-called dense potentiation regime  $p_1 \rightarrow 1$  (as  $m \rightarrow \infty$ ) can be quite advantageous in terms of synaptic capacity when the connectivity matrix  $\mathbf{W}$  is set according to the inhibitory Willshaw learning rule. In the associative task, this rule is able to achieve a synaptic capacity already an order of magnitude larger than that of the original excitatory model for reasonable pattern activity  $k$  and plausible network size, and arbitrarily higher values in large networks with appropriate activity levels (Knoblauch et al 2010). Furthermore, it is one of the limit cases of the optimal non-linear Bayesian local synaptic update (Knoblauch 2011).

The inhibitory rule is a subtle variation of equation (2), as the synaptic states set by the original rule are simply switched: each 0-synapse (encoding non-coincidental activity) becomes functional as an inhibitory synapse  $w_{ij} = -1$ ; conversely, each 1-synapse becomes silent  $w_{ij} = 0$ . We denote the synaptic connectivity matrix of the inhibitory variant by  $\tilde{\mathbf{W}}$ ; after  $M$  pattern presentations, the state of synapse  $i \rightarrow j$  is

$$\tilde{w}_{ij} = w_{ij} - 1 = \max \left( -1, \sum_{\mu=1}^M x_i^\mu x_j^\mu - 1 \right), \quad (30)$$

where  $w_{ij}$  is the 0-1 weight that would be induced by the excitatory rule.

The energy for a familiar cue  $\tilde{\mathbf{x}} \in \mathcal{S}$  is now  $\Theta_I \equiv H(\tilde{\mathbf{x}}) = 0$ , following the reasoning which led to the derivation of  $\Theta_W$ . Novel patterns should activate the inhibitory synapses

so that for a given  $\tilde{\mathbf{x}} \notin \mathcal{S}$ ,  $H(\tilde{\mathbf{x}}) > 0 = \Theta_I$ ; thus, the discrimination function (4) remains unchanged. The (classical) network capacity of the inhibitory network is

Notice that the excitatory and inhibitory networks are functionally equivalent and that the (classical) network capacities of both implementations are equal, i.e.,  $\tilde{C} = C$ . It is the synaptic capacity  $\tilde{C}^S$  of the inhibitory network the fundamental quantity to observe, as it is inversely proportional to the fraction  $\tilde{F}$  of inhibitory synapses

$$C/\tilde{C}^S = P(\tilde{w}_{ij} = -1) = 1 - p_1 = (1 - f^2)^M \quad (31)$$

$$\approx \exp(-f^2 M) \equiv \tilde{F}, \quad (32)$$

where we have used approximation (9) for  $p_1$ .

Alternatively,  $\tilde{F}$  can be obtained as a function of the error probability bound  $p_{01\varepsilon}$  from (29),

$$\tilde{F} = 1 - p_1 \approx 1 - p_{01\varepsilon}^{2/k^2}. \quad (33)$$

Expanding the network capacity  $C$  as in (26) and inserting in (28) the factor  $\tilde{F}$  we have just derived, we arrive at the synaptic capacity of the inhibitory network as a function of  $k$  and  $p_{01\varepsilon}$ :

$$\tilde{C}^S \approx -\frac{2 \ln(1 - p_{01\varepsilon}^{2/k^2})}{k^2 (1 - p_{01\varepsilon}^{2/k^2})}, \quad (34)$$

which is approximately

$$\tilde{C}^S \approx -\frac{2 \ln k - \ln(-2 \ln p_{01\varepsilon})}{\ln p_{01\varepsilon}}, \quad (35)$$

the approximation improving as  $k$  increases.

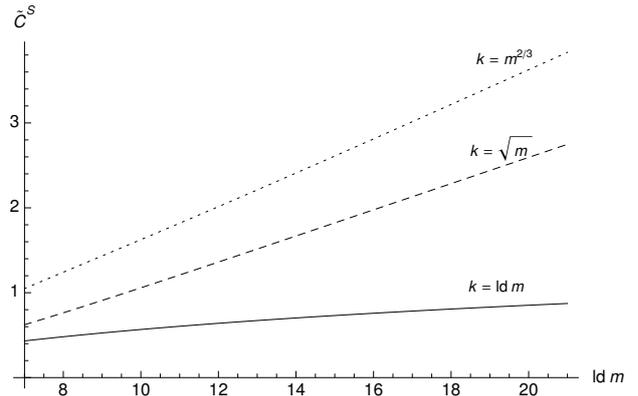
Asymptotically, letting  $k \rightarrow \infty$ , the capacity further simplifies to

$$\tilde{C}^S \approx -\frac{2 \ln k}{\ln p_{01\varepsilon}}. \quad (36)$$

Notice that for large  $k$ , the  $k^{-2}$  factor that was hampering the capacity in the excitatory model has disappeared, both in the finite case (35) and in the large network limit (36).

What is remarkable is that as  $m \rightarrow \infty$ , the synaptic capacity  $\tilde{C}^S$  diverges for any  $k$  that increases with  $m$ , assuming that the binomial approximative theory we employ remains valid. For finite networks and activity levels of order  $m^p$  with  $0 < p < 1$ ,  $\tilde{C}^S$  already surpasses unity for small- and medium-sized systems (see figure 3). Even for ‘classical’ sparseness where  $k$  is of logarithmic size, the capacity increases with network size (recall that  $C$  was always vanishing for any non-constant  $k$ ) and is always well above zero.

To picture the difference in capacities, for a network of size  $m = 10^6$ , an error rate of  $p_{01\varepsilon} = 0.01$  and a logarithmic activity level  $k = \ln m \approx 14$ , we obtain the network capacity  $C \approx 0.03$ , while the synaptic capacity is  $\tilde{C}^S \approx 0.70$ . If the coding level rises to a more realistic setting such as



**Fig. 3** Synaptic capacity  $\tilde{C}^S$  (in bits per synapse) for the inhibitory Willshaw rule in the same conditions of figure 1, calculated through normalisation of  $C$  (cf. equation 25) by  $\tilde{F} \equiv 1 - p_1$ . In the moderately-sparse coding regime (supra-logarithmic  $k(m)$ ), which would otherwise lead to quickly vanishing  $C$  and  $C^S$  in the excitatory Willshaw model, the inhibitory network is capable of storing more than one bit per functional synapse already at surprisingly small  $m$ . As discussed in the main text, the synaptic capacity increases with  $m$ , as long as  $k$  is as well an increasing function of  $m$ .

$k = \sqrt{m} = 1000$ , the difference becomes drastic, as we have  $C \approx 2.4 \times 10^{-5}$  and  $\tilde{C}^S \approx 2.6$ .

There is a major qualitative change when the excitatory rule is replaced by the inhibitory one. Since  $\tilde{F} \rightarrow 0$  as  $k \rightarrow \infty$ , in the limit of large networks the system is characterised by few synapses carrying a great amount of information. For moderate sparseness where  $k$  is of the form  $m^p$ ,  $0 < p < 1$ , and any setting of  $p$ , the synaptic capacity is (asymptotically)

$$\tilde{C}^S \approx 2p (-\ln p_{01\varepsilon})^{-1} \ln m, \quad (37)$$

which grows with  $m$  as fast as the corresponding asymptotic bound for the associative case (see Table 1, Knoblauch et al 2010), although here the high fidelity requirement enforced through the constant  $p_{01\varepsilon} > 0$  affects more strongly the obtained capacity. Note that the maximal pattern load is still large; substituting  $k$  for  $m^p$  in equation 17 we find

$$\tilde{M}_\varepsilon \approx -m^{2-2p} \ln(1 - p_{01\varepsilon}^{2m^{-2p}}), \quad (38)$$

which becomes, in the limit of large networks  $m \rightarrow \infty$ ,

$$\tilde{M}_\varepsilon \approx 2p \cdot m^{2-2p} \cdot \ln m. \quad (39)$$

When  $k$  is of order  $\sqrt{m}$ , asymptotically we obtain the pattern capacity  $\tilde{M}_\varepsilon = m \ln m$ , which is still supralinear in  $m$ , while the number of required functional synapses  $\tilde{F}$  tends to zero.

In summary, considering that only functional synapses are relevant for the capacity measure, the Willshaw-type inhibitory learning rule leads to efficient familiarity discrimination in the limit of synaptic precision (two-state synapses). Interestingly, as in the pattern association task (Knoblauch

et al 2010), the network achieves high storage capacities for coding rates of the form  $f = k/m = m^{p-1} = m^{-\alpha}$ ,  $0 < \alpha < 1$ , which for most cortical regions are (arguably) more realistic than the logarithmic levels required by the excitatory rule. If one accepts the logarithmic coding requirement, then the inhibitory model offers a pattern load that grows as  $2m^2 \ln \ln m (\ln m)^{-2}$  (see equation 18), still achieving capacities around one bit per synapse while maintaining high fidelity in the discriminator output and low anatomical connectivity.

#### 2.4 Corrections for binomially-distributed activity levels

To reach the former results we have assumed that the activity level per pattern was fixed at exactly  $k$  firing neurons, at any given time, i.e.,  $|\tilde{\mathbf{x}}| = |\mathbf{x}^\mu| = k$  was kept constant across all  $\mu$ . Thus, all patterns were permutations of each other chosen from the  $\binom{m}{k}$  possible configurations as in the analysis of Palm (1980). However, from the biological modelling perspective it might be more reasonable to take the assembly size as a random variable. In this section we let  $|\mathbf{x}^\mu|$  and  $|\tilde{\mathbf{x}}|$  assume a binomial distribution with characteristic probability  $f \equiv k/m$ , so that the mean activity level is still  $k/m$ , but the activity levels are allowed to vary.

In this case, the treatment is harder since we have to replace the constant parameter  $k$  in the capacity analyses by a random variable. We denote by a star superscript ‘\*’ whenever appropriate to differentiate quantities where  $|\tilde{\mathbf{x}}|$  and  $|\mathbf{x}^\mu|$  are random variables.

First, since the patterns have varying activity levels, to recover the ‘no-omission-errors’ property  $p_{10} = 0$ , we adjust the discrimination threshold for the excitatory network accordingly on a cue-by-cue basis,

$$\Theta_W^*(\tilde{\mathbf{x}}) = |\tilde{\mathbf{x}}|^2 = Z^2, \quad (40)$$

denoting the binomially-distributed pattern activity level by random variable  $Z$ . The variable threshold could be implemented, alternatively, introducing an external feedforward inhibition field in the energy read-out, corresponding to a translation in the energy function,

$$H^*(\tilde{\mathbf{x}}) = H(\tilde{\mathbf{x}}) - \Theta_W^*(\tilde{\mathbf{x}}), \quad (41)$$

implying  $H^*(\tilde{\mathbf{x}}) = 0$  for familiar  $\tilde{\mathbf{x}} \in \mathcal{S}$ , as in the inhibitory Willshaw network implementation.

When the weights are set according to the inhibitory rule (30), there is no need for the explicit external field, as the energy reads immediately  $H(\tilde{\mathbf{x}}) = H^*(\tilde{\mathbf{x}})$  and the threshold can be simply set fixed  $\Theta_I^* = \Theta_I = 0$  as before. For the excitatory network, however, the variable threshold control is fundamental to stabilise the energy, as can be seen for instance through inspection of the variances of non-translated vs. translated energies (not shown here).

In the following,  $p_B(x; n, p) = \binom{n}{x} p^x (1-p)^{n-x}$  is the probability mass function of the binomial distribution. We first approximate the conditional error probability by

$$p_{01}^* = P(D(\tilde{\mathbf{x}}) = 1 \mid \tilde{\mathbf{x}} \notin \mathcal{S}) \quad (42)$$

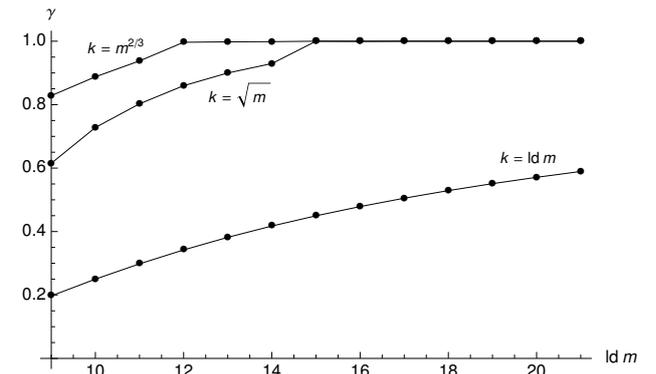
$$\begin{aligned} &\approx \sum_{i=0}^{M-1} p_B(i; M-1, f) \times \\ &\times \sum_{z=1}^m p_B(z; m, f) \left(1 - (1-f)^i\right)^{(z^2-z)/2}, \end{aligned} \quad (43)$$

which is the expression found by Buckingham and Willshaw (1992) for the associative task under the same statistical assumptions, now adjusted to the quadratic familiarity discriminator; the full analysis of the distribution is due to Knoblauch (2008). Notice that equation 43 is just an approximation, as the analyses of the associative case assume independence among the columns of  $\mathbf{W}$ . To compute the exact conditional error probability of the quadratic discriminator, however, would require analysing a  $k \times k$  sub-matrix of  $\mathbf{W}$ , which is a difficult combinatorial problem we do not solve.

Approximating the exponent and employing the binomial approximation, as in (15), we obtain

$$p_{01}^* \approx \sum_{z=1}^m p_B(z; m, k/m) p_1^{z^2/2} \geq p_1^{k^2/2}, \quad (44)$$

$p_1$  being the expected matrix load as given by (8). Notice that in general, as expected and as in the case of the covariance rule (Bogacz and Brown 2002; Greve et al 2009), the error probability is never smaller than when the activity level is kept constant.



**Fig. 4** The ratio  $\gamma = \tilde{C}^{S^*}/\tilde{C}^S$  between the obtained synaptic capacities (calculated through normalisation by  $\tilde{F}$  of the network capacity of equation 25) in the binomial- and fixed-activity pattern generation scenarios. Connecting (interpolating) lines are visual aids; solid markers represent the ratio of capacities computed for actual measured  $M^*$  (binomially-distributed  $Z$ ) vs. theoretical maximal  $M_E$  (fixed  $z = k$ ) as given by (17). The pattern load  $M^*$  was found numerically by bisecting search over equation 44 with the target  $p_{01}^*$  set at  $p_{01\epsilon} = 0.01$ . The relative difference between  $C^{S^*}$  and  $C$  fades as  $m$  grows and when the expected activity level order  $k(m)$  increases.

It is hard to obtain the pattern load  $M^*$  as a function of  $p_{01}^*$  without writing the summation in (44) in closed-form, which is difficult to accomplish due to the quadratic exponent. However, we can find numerically the  $M^*$  such that the commission error probability  $p_{01}^*$  is approximately equal to some arbitrary bound close to zero (say,  $p_{01e} = 0.01$ ), from which we compute the corresponding synaptic capacity  $\tilde{C}^{S*}$ . Then, to assess the impact of letting  $k$  vary, we can see how the ratio  $\gamma \equiv \tilde{C}^{S*} / \tilde{C}^S$  evolves as  $m$  grows, for different mean activity levels.

As plotted in figure 4,  $\gamma$  approaches unity as the network size parameter  $m$  increases, and quickly so when the patterns are moderately sparse ( $k = m^p$ ). For small, finite  $m$  there is a rather large factor affecting  $M^*$  that originates in the disorder introduced by the variability in the activity levels. This factor can be (approximately) as large as  $1/5$  for  $k$  of logarithmic size but attenuates as  $m$  grows. Our numerical analysis strongly suggests then that the system remains qualitatively intact and the former conclusions drawn for fixed  $k$  should hold, even for finite networks, although the discriminator is subject to a correcting factor which decreases the capacity of the model.

### 3 Discussion

If one restricts the model to operate with two-state synapses, a well-known and simple local update scheme can offer a surprising familiarity discrimination capacity, provided that the firing rates are kept low. We have analysed both the original Willshaw rule (Willshaw et al 1969) and a variation for inhibitory synapses recently proposed by Knoblauch et al (2010).

At high pattern loads, the traditional excitatory implementation imposes high connectivity and a heavy coding restriction; we have seen that for large enough networks the network capacity eventually approaches zero unless the activity levels are kept constant (independent of network size) and very low at all times. For neural populations of moderate size and low activity levels (e.g., of logarithmic order), one can obtain in the high-fidelity regime information and pattern capacities that are comparable to those found for the optimal linear rule. In this case, we find a rather low overall stored information content per synapse in comparison to the typical values achieved in the associative memory task, a fact that has already been discussed by Barrett and van Rossum (2008); Greve et al (2009).

Taking into consideration that in the long-term the brain might prune silent synapses (that play a non-functional role and are mere spatial candidates for future potentiation) in stable memories and then place synapses in new locations as needed, Knoblauch et al (2010) suggested the so-called synaptic capacity measure where only functional resources are taken into account. The critical observation we reach in

our work is that the familiarity detection task parametrisation leads naturally to the dense potentiation regime, even for logarithmic sparse coding, which explains the large capacities achieved by the inhibitory Willshaw rule. In this case, we recover the increasing capacity function (with respect to network size) that is typical of the associative task.

Of course, another question altogether is to locate such structures in the actual central nervous system, and to ascertain if the less conservative inhibitory rule (where connections corresponding to previous coincidental activity are depressed and then pruned) is plausible and if it is actually observed in real synapses. It is worth noting that we have switched to an inhibitory circuit so that the energy ‘readout’ mechanism (4) could remain intact, except for a change in the threshold. However, one could consider a sign-reversed connectivity matrix, i.e., an excitatory network implementation with exactly the same couplings as the inhibitory one. In this case, the less well-known inhibitory synaptic plasticity processes would be avoided, but the task would change, as a stronger excitatory signal would be elicited in the presence of novel patterns. Such a model could be appropriate to describe a novelty detection mechanism in regions where stronger excitatory activity is observed as a response to non-familiar stimuli. Our analysis should hold, as only the number (and not the type) of required functional synapses matters for the synaptic capacity measure we have considered.

Following the previous studies of familiarity detection, our analysis has focused on simple high-level modelling assumptions that could be refined if the biological implications require so. For instance, one could consider incorporating well-known features of more realistic or detailed models, such as stochastic synaptic transmission, arbitrary query noise, or spiking neurons.

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### A Derivation of the mutual information per pattern

For a given pattern transmission described by the true class (novel-familiar) of the pattern  $X^\omega$  and the network output  $Y^\omega$ , we can define the mutual information  $I(X^\omega; Y^\omega)$  in terms of the discriminator entropy  $I(Y^\omega)$  and the conditional entropy  $I(Y^\omega | X^\omega)$  of the discrimination outcome given the correct classification,

$$I(X^\omega; Y^\omega) = I(Y^\omega) - I(Y^\omega | X^\omega). \quad (45)$$

Let us denote by  $I(p) = -p \log p - (1-p) \log(1-p)$  the Shannon entropy in bits of a binary random variable  $X$  with  $P(X=1) = p$  and  $P(X=0) = 1-p$ . Then, we can write the entropies in (45) with respect to the prior probability  $p \equiv P(X^\omega = 1)$  and the error probabilities  $p_{10}$

and  $p_{01}$  (Cover and Thomas 2006), leading to

$$I(Y^\omega) = I(p(1 - p_{10}) + (1 - p)p_{01}) = I(p + (1 - p)p_{01}), \quad (46)$$

and

$$I(Y^\omega | X^\omega) = pI(p_{10}) + (1 - p)I(p_{01}) = (1 - p)I(p_{01}), \quad (47)$$

recalling that  $p_{10} = 0$  under the threshold setting (11).

Inserting the expanded entropies into expression 45, and substituting  $p = 1/2$  (the probability of a pattern being familiar), we obtain

$$I(X^\omega; Y^\omega) = I\left(\frac{1}{2}(1 + p_{01})\right) - \frac{1}{2}I(p_{01}) \quad (48)$$

$$= 1 - \frac{1}{2}\left((1 + p_{01})\text{ld}(1 + p_{01}) + (1 - p_{01})\text{ld}(1 - p_{01})\right) - \frac{1}{2}\left(-p_{01}\text{ld}p_{01} - (1 - p_{01})\text{ld}(1 - p_{01})\right) \quad (49)$$

$$= 1 - \frac{1}{2}\left((1 + p_{01})\text{ld}(1 + p_{01}) - p_{01}\text{ld}p_{01}\right), \quad (50)$$

which is the expression presented in the main text (equation 25).

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