



Reply to comment

Climate governance as a complex adaptive system Reply to comments on “Climate change governance, cooperation and self-organization”

Jorge M. Pacheco^{a,b,c}, Vítor V. Vasconcelos^{a,c,d,e}, Francisco C. Santos^{d,c,*}

^a Centro de Biologia Molecular e Ambiental, Universidade do Minho, 4710-057 Braga, Portugal

^b Departamento de Matemática e Aplicações, Universidade do Minho, 4710-057 Braga, Portugal

^c ATP-Group, CMAF, Instituto para a Investigação Interdisciplinar, 1649-003 Lisboa, Portugal

^d INESC-ID and Instituto Superior Técnico, Universidade de Lisboa, Taguspark, 2744-016 Porto Salvo, Portugal

^e Centro de Física da Universidade do Minho, 4710-057 Braga, Portugal

Received 9 October 2014; accepted 10 October 2014

Available online 24 October 2014

Communicated by J. Fontanari

Mark Buchanan recently wrote that “*Physics is not only about Physics anymore*” [1]. We believe that the subject of our review provides a clear manifestation of this statement, and testifies for the possibility of using methods developed in the realm of theoretical physics to address problems that lie far beyond what conventional Physics thinking would conceive. It is thus rewarding (and we feel very honored) to have our manuscript commented by renowned scientists from a variety of fields.

One of the greatest legacies of Physics, which stands at the core of its enormous success as a scientific discipline, is associated with the tight interplay between theory and experiment. These often develop in parallel when investigating natural phenomena, mutually guiding each other in advancing our knowledge of them. While theoretical Physicists typically develop simple models that aim at describing, accurately, what they believe are key features of the system under study, experimental Physicists more often than not stretch our imagination and perform controlled experiments whose results are amenable to be reproduced again and again.

The problem that we address in our paper — how to cooperate in order to avoid the adverse effects of Global Warming — reveals a different stance. As Astrid Dannenberg writes [2], global warming is probably the greatest collective action problem mankind has ever faced, the “game we cannot afford to lose” [3], as Manfred Milinski quotes [4]. And yet, the theoretical and experimental tools devised to date are of limited scope. Experiments here translate into behavioral experiments, which are much more difficult to control (and thus reproduce) than, say, to cool a bunch of atoms below 10^{-9} kelvin [5]. The intrinsic complexity of the problem means that theoretical models must “overlook” (or “average out”) many aspects of this complexity, focusing on the “order parameters” that may provide

DOI of original review article: <http://dx.doi.org/10.1016/j.plrev.2014.02.003>.

DOIs of comments: <http://dx.doi.org/10.1016/j.plrev.2014.04.006>, <http://dx.doi.org/10.1016/j.plrev.2014.06.021>,
<http://dx.doi.org/10.1016/j.plrev.2014.06.012>, <http://dx.doi.org/10.1016/j.plrev.2014.07.013>.

* Corresponding author at: INESC-ID and Instituto Superior Técnico, Universidade de Lisboa, Taguspark, 2744-016 Porto Salvo, Portugal. Tel.: +351 210 407 091; fax: +351 214233290.

E-mail address: franciscocsantos@ist.utl.pt (F.C. Santos).

the clearest signal. But precisely because of this, and similar to conventional Physics problems, theory and experiment should develop hand-in-hand, guiding each-other. Here, like with the problem we address, what we want is the total to be more than the sum of its parts.

We started to develop a simple model to investigate the feasibility of achieving global cooperation in the problem of Global Warming [6,7]. At its core, our model is able to reproduce the impressive results captured in the behavioral experiments carried out both by Milinski and co-workers [8] and also by Tavoni and Dannenberg [9]: risk plays a key role in driving cooperation. At this level, theory and experiment agree, at least qualitatively.

However, as we complement our model with new features, experiments become increasingly difficult to devise. Our predictions, as pointed out by Tavoni [10] and Dannenberg [2], that local pockets of cooperation (either spontaneously formed or locally enforced) can have a catalyzing effect leading to widespread cooperation, do provide, indeed, an optimistic view which, as Milinski writes, needs “*a reality check*” to “*show whether the optimistic view is supported and whether it can help humankind to win the game*” [4]. Provocative as they may be [7], we believe that our predictions have caught the attention (and hopefully challenged) some of the experts in the field of behavioral experiments. We certainly need a lot of ingenuity to advance in this field, where time is limited.

An important feature of our evolutionary game theory approach, as pointed out by Tavoni [10] and also by Szolnoki [11], is that the model framework naturally admits the embedding of the population in a (network) structure. Our predictions state that network heterogeneity provides an additional contribution towards widespread cooperation, but the challenge posed by Szolnoki [11] is tantalizing: To which extent can interdependent networks [12,13], combined with reactive strategies [14], help coordination into global cooperation? This question is particularly relevant if one conceives an interconnected system involving multiple agreements or institutions [15]. But much can be investigated. Is there a mechanism to overcome the uncertainty about future catastrophes [6,16–18]? How is it that *i*) the diversity of the environmental resources managed, together with *ii*) the world’s patent wealth inequality and *iii*) diversity of roles played by different countries [9,19,20] may influence the fate of this complex (adaptive) system [21,22]? These are important questions whose answer can be sought by extending the present model. We are doing our part, but we are very excited with the possibility that more scientists may be attracted to such an important problem by the bold simplicity of our original model. Future will tell.

Acknowledgements

This research was supported by FEDER through the European Regional Development Funds of POFC – COMPETE and by FCT-Portugal through grants SFRH/BD/86465/2012, PTDC/MAT/122897/2010, EXPL/EEI-SII/2556/2013, by multi-annual funding of CMAF-UL, CBMA-UM and INESC-ID (under the projects PEst-OE/BIA/UI4050/2014 and PEst-OE/EEI/LA0021/2013) provided by FCT-Portugal, and by Fundação Calouste Gulbenkian through the “Stimulus to Research 2012” program for young researchers.

References

- [1] Buchanan M. *Nexus: small worlds and the groundbreaking theory of networks*. WW Norton & Company; 2003.
- [2] Dannenberg A. Dangerous climate change and collective action: comment on “Climate change governance, cooperation and self-organization” by Pacheco, Vasconcelos, and Santos. *Phys Life Rev* 2014;11:591–2 [in this issue; available online 13 June 2014].
- [3] Dreber A, Nowak MA. Gambling for global goods. *Proc Natl Acad Sci USA* 2008;105(7):2261–2.
- [4] Milinski M. Modeling a polycentric approach to the problem of climate change: comment on “Climate change governance, cooperation and self-organization” Pacheco, Vasconcelos & Santos. *Phys Life Rev* 2014;11:593–4 [in this issue; available online 28 July 2014].
- [5] Leanhardt A, Pasquini T, Saba M, Schirotzek A, Shin Y, Kielpinski D, et al. Cooling Bose–Einstein condensates below 500 picokelvin. *Science* 2003;301(5639):1513–5.
- [6] Santos FC, Pacheco JM. Risk of collective failure provides an escape from the tragedy of the commons. *Proc Natl Acad Sci USA* 2011;108(26):10421–5.
- [7] Pacheco JM, Vasconcelos VV, Santos FC. Climate change governance, cooperation and self-organization. *Phys Life Rev* 2014;11:573–86 [in this issue; available online 19 February 2014].
- [8] Milinski M, Sommerfeld RD, Krambeck HJ, Reed FA, Marotzke J. The collective-risk social dilemma and the prevention of simulated dangerous climate change. *Proc Natl Acad Sci USA* 2008;105(7):2291–4.
- [9] Tavoni A, Dannenberg A, Kallis G, Löschel A. Inequality, communication and the avoidance of disastrous climate change in a public goods game. *Proc Natl Acad Sci USA* 2011;108:11825–9.
- [10] Tavoni A. Evolutionary escape from the climate dilemma: comment on “Climate change governance, cooperation and self-organization” Pacheco, Vasconcelos & Santos. *Phys Life Rev* 2014;11:587–8 [in this issue; available online 27 June 2014].

- [11] Szolnoki A. The power of games: comment on “Climate change governance, cooperation and self-organization” by Pacheco, Vasconcelos and Santos. *Phys Life Rev* 2014;11:589–90 [in this issue; available online 5 May 2014].
- [12] Gao J, Buldyrev SV, Stanley HE, Havlin S. Networks formed from interdependent networks. *Nat Phys* 2012;8(1):40–8.
- [13] Wang Z, Szolnoki A, Perc M. Evolution of public cooperation on interdependent networks: the impact of biased utility functions. *Europhys Lett* 2012;97(4):48001.
- [14] Van Segbroeck S, Santos FC, Lenaerts T, Pacheco JM. Reacting differently to adverse ties promotes cooperation in social networks. *Phys Rev Lett* 2009;102(5):058105.
- [15] Vasconcelos VV, Santos FC, Pacheco JM. A bottom-up institutional approach to cooperative governance of risky commons. *Nat Clim Change* 2013;3:797–801.
- [16] Barrett S, Dannenberg A. Climate negotiations under scientific uncertainty. *Proc Natl Acad Sci USA* 2012;109(43):17372–6.
- [17] Barrett S. *Why cooperate?: the incentive to supply global public goods*. USA: Oxford University Press; 2007.
- [18] Levin SA. The trouble of discounting tomorrow. *Solutions* 2012;4(3):20–4.
- [19] Vasconcelos VV, Santos FC, Pacheco JM, Levin SA. Climate policies under wealth inequality. *Proc Natl Acad Sci USA* 2014;111(6):2212–6.
- [20] Santos FC, Santos MD, Pacheco JM. Social diversity promotes the emergence of cooperation in public goods games. *Nature* 2008;454(7201):213–6.
- [21] Miller JH, Page SE. *Complex adaptive systems: an introduction to computational models of social life*. Princeton, USA: Princeton University Press; 2009.
- [22] Levin SA. Learning to live in a global commons: socioeconomic challenges for a sustainable environment. *Ecol Res* 2006;21(3):328–33.